

Assessing agricultural innovation & extension systems for evidence based policies and investment

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FAO Research and Extension Unit (AGDR)

Unlocking potential to innovate in FAO member countries by strengthening their Agricultural Innovation Systems (AIS):

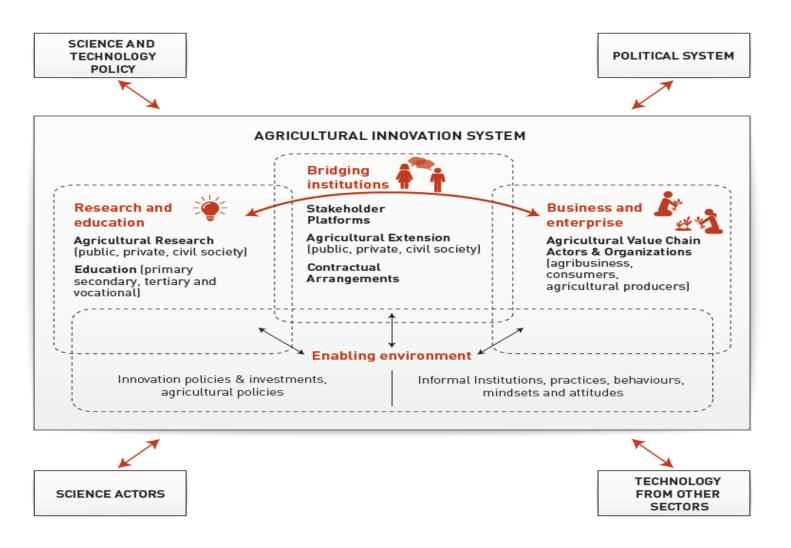
- Advocacy and awareness raising;
- Policy advice and strengthening national institutions;
- Develop capacities of the national agricultural innovation and extension systems based on a critical assessment and analysis of existing situation and demand
- Facilitation of multi-stakeholder processes (e.g. policy dialogues)
- Development of guidelines, policy & technical briefs, methodological tools and case studies, etc.;
- Working together with global, regional, national partners TECA-Technologies and Practices for Small Ager such as GFRAS, CGAIR, AFAAS, FARA, TAP, CIRAD, etc..



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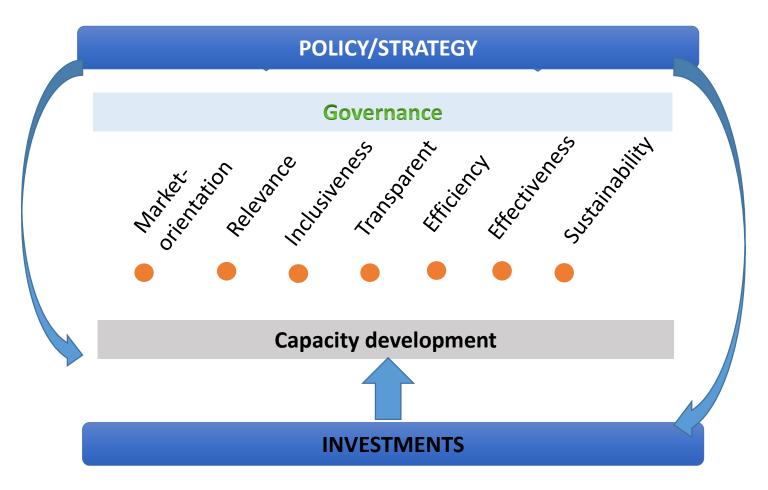
AIS FRAMEWORK





Transforming the national AIS/EAS

- 1. Improved enabling environment:
 - evidence-based policies and investment (assessment)
 - governance
 - financial mechanisms
- 2. Enhanced capacities at:
 - enabling environment,
 - organization, and
 - individual levels





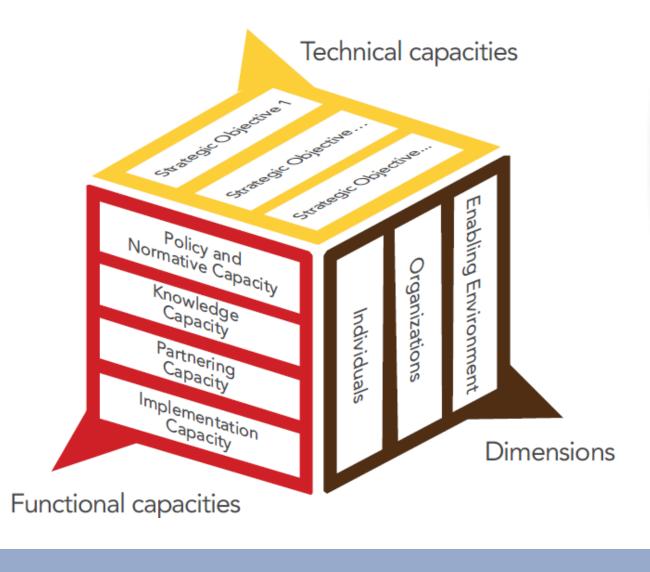
FAO Capacity Development Framework

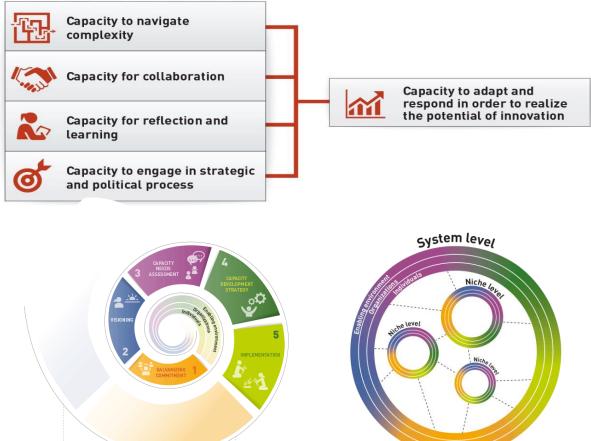
FACIL ITATIO

enables the interaction of actors in the system and strengthens capacities for REFLECTION, LEARNING 8 DOCUMENTATION (RL&D)

happens among the actors in each stage and feeds into the M&E process EVALUATION (M&E)

aspects need to be considered in each stage in order to effectively track and assess the





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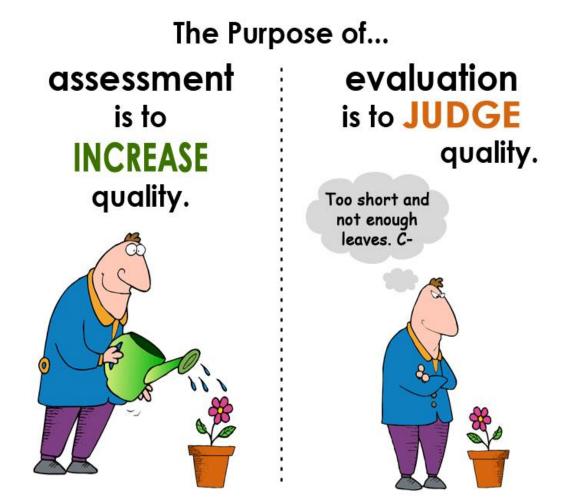
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AIS Assessment Guide: Why and for whom?

Assessment as a necessary precondition to strengthen AIS/EAS

Purpose:

... guide and support actors, national policy and decision makers and other interested stakeholders to develop and implement evidence-based policies, planning and to better target investments towards strengthening AIS/EAS.



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Typology of existing (blue) and potential (red) indicators

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		Spec	ific †			
	researchers education/t Public spending on agricultural research Public spending on extension ent Extension tre service providers	Foreign aid for agriculture Foreign aid for agricultural research Foreign aid	in aor	educati Quality training Transport regulation in agr. Seed regulation	of university ion in agr. of vocational g in agr. Agr. research-ex collaboration Agr. research-po collaboration Adoption of certification Standards in agr.	
Primary school enrolment	Health expenditures Foreign aid received Total tax rate	Patent applications Scientific and technical journal articles Domestic credit to private sector Legal rights index	Start-up proceed to register a but Time required start a business Mobile phone subscriptions Gross capital formation	dures e isiness to	Quality of the educational system Ease of accessing loans	University-industry collaboration in R&D Quality of scientific research institutions

Generic





To date, many assessments would:

- Focus on public sector extension;
- Give limited attention to enabling environment;
- Treat AIS/EAS as a group of individual providers, not as a system with its interactions and governance;
- Neglect a broader AIS context;
- Be upward accountable (towards managers/donors) with limited client participation.

Methodologically, focus mostly on quantifiable data:

- Human resources;
- Number of products and services;
- Number of participants reached;
- Farmer-extension ratio;
- Percentage of public Investments;
- Etc.

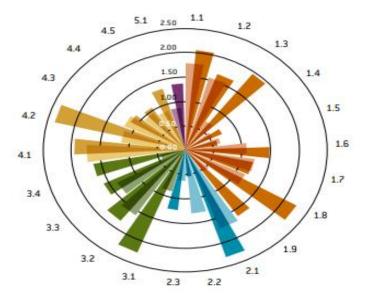


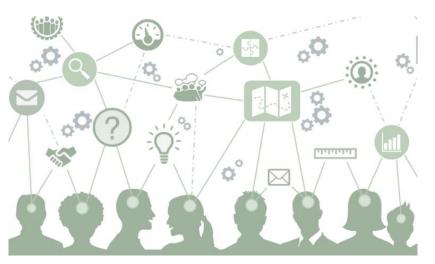
What's unique about FAO Guide?

AIS and EAS is much more complex than what numbers can present.

New FAO Guide:

- Offers a **flexible** methodology with a set of core principles;
- Starting point **new functions** needed v.s. what is available;
- **Optimum ignorance** need to know vs. nice to know;
- **System perspective** looks at AIS/AES as a system with collective capacity, performance, governance with relationships among actors;
- **Relevance** takes into consideration the "clients" perspective;
- Offers a range of practical **qualitative and quantitative** methods to capture the complex reality of the AIS and EAS system;
- Quantifies qualitative information to better inform policy and investment decisions.







AIS and EAS assessment: lessons learnt

- ✓ The assessment is a process and not a data collection exercise;
- ✓ Is participatory, nationally owned and led;
- ✓ Is an endogenous process. Collective energy, motivation and commitment of stakeholders to engage in the assessment are fundamental;
- ✓ Accountability by all key stakeholders engaged in the assessment is critical
- ✓ Sufficient resources (financial, human, equipment, stationery, etc.) are needed to allow a thorough assessment
- ✓ Regular consultation and feedback mechanisms (double learning loop) between assessment team and key stakeholders are fundamental.



Preparation

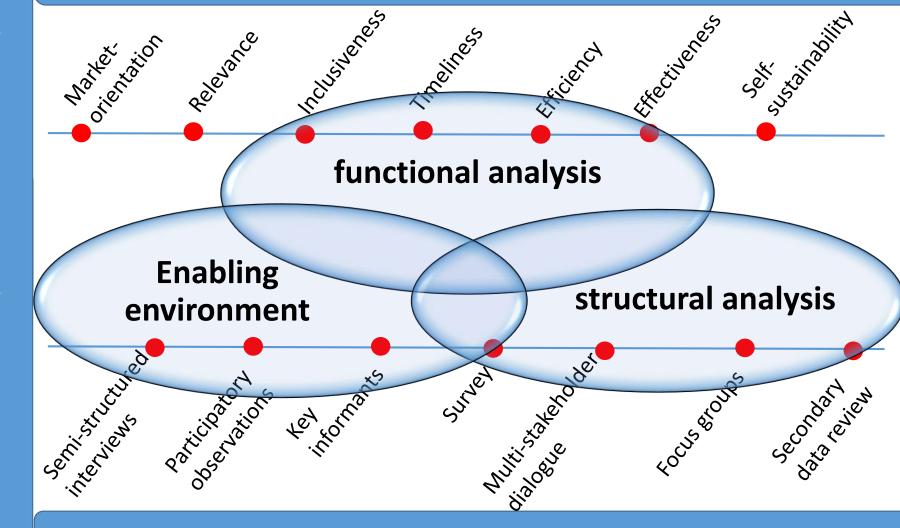
Implementation

Consolidation

Validation through country-level testing: Ecuador, Peru, Liberia, Tunisia, Gambia, Uganda, Madagascar, Swaziland, Ukraine, Azerbaijan, Kyrgyzstan, Tajikistan, Uzbekistan, and India

Assessment Guide: structure and process

Creating country's ownership : Country team, training on the methodology and goal setting



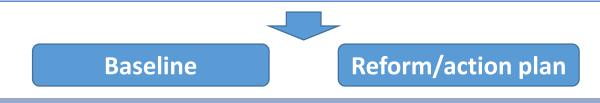
Analysis of the findings, validation and action planning

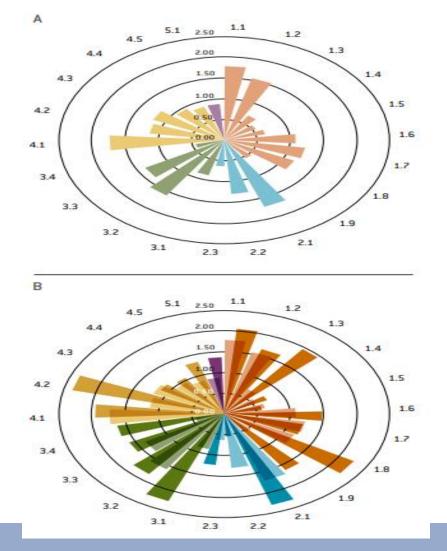


Output: AIS&EAS Profile

A decision-support tool to prioritize smart investments to strengthen agricultural innovation system

- Quick, easy to read and to understand
- Gives an overview of the agricultural innovation system
- Sheds light on key facts, data and information on AIS.
- Help AIS stakeholders, policy and decision makers to better understand the situation of AIS
- Generate generic and specific entry points for responsible investments to strengthen AIS/AES







AGDR work on AEAS - country level

- Builds countries' capacities to assess their national AEAS;
- Provides advice and policy support to **design and implement an effective AEAS reform** towards toward creating pluralistic, demand-driven and market orientated systems.

