

Assessing agricultural innovation & extension systems for evidence based policies and investment

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FAO Research and Extension Unit (AGDR)

Unlocking potential to innovate in FAO member countries by strengthening their Agricultural Innovation Systems (AIS):

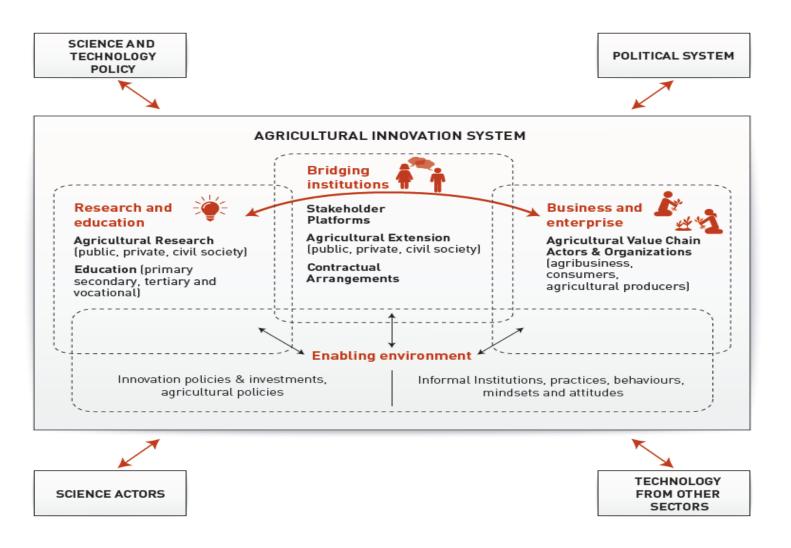
- Advocacy and awareness raising;
- Policy advice and strengthening national institutions;
- Develop capacities of the national agricultural innovation and extension systems based on a critical assessment and analysis of existing situation and demand
- Facilitation of multi-stakeholder processes (e.g. policy dialogues)
- Development of guidelines, policy & technical briefs, methodological tools and case studies, etc.;
- Working together with global, regional, national partners TECA-Technologies and Practices for Small Ager such as GFRAS, CGAIR, AFAAS, FARA, TAP, CIRAD, etc..



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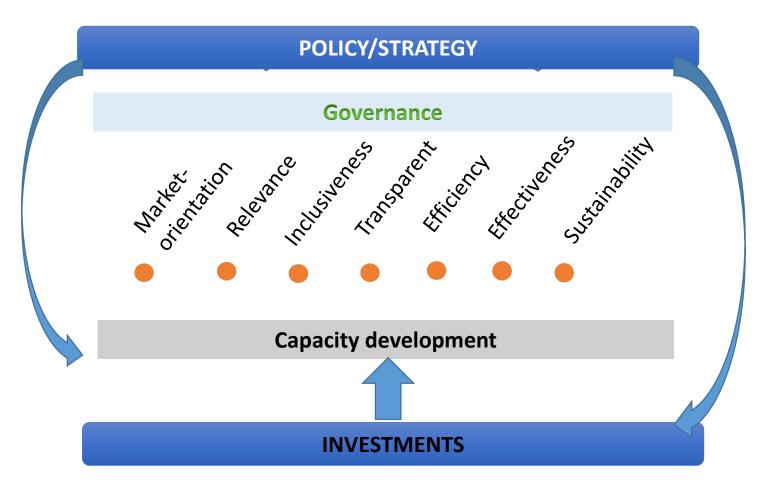
AIS FRAMEWORK





Transforming the national AIS/EAS

- 1. Improved enabling environment:
 - evidence-based policies and investment (assessment)
 - governance
 - financial mechanisms
- 2. Enhanced capacities at:
 - enabling environment,
 - organization, and
 - individual levels





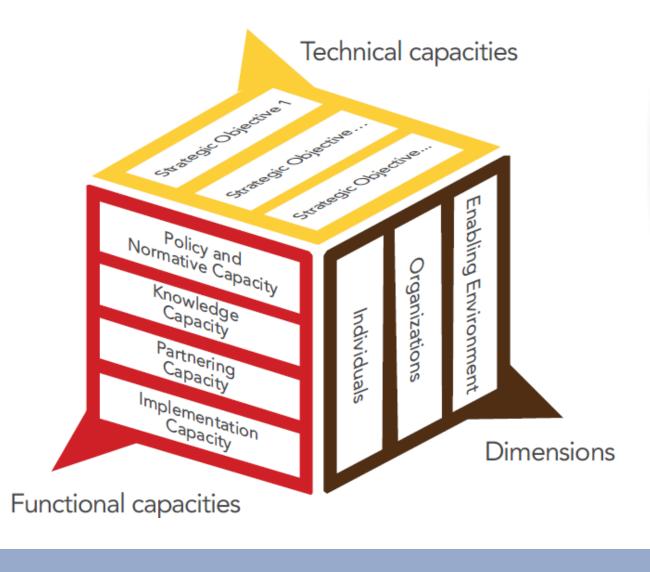
FAO Capacity Development Framework

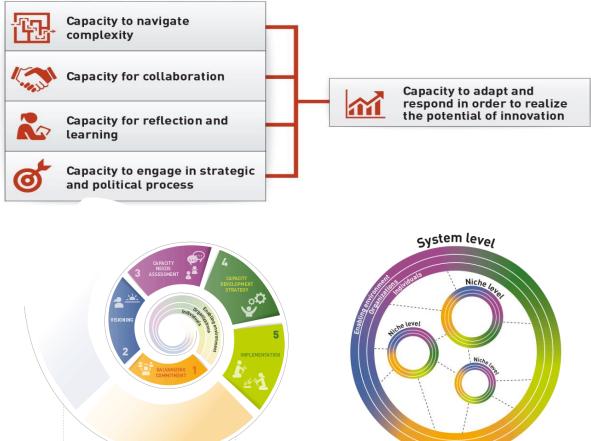
FACIL ITATIO

enables the interaction of actors in the system and strengthens capacities for REFLECTION, LEARNING 8 DOCUMENTATION (RL&D)

happens among the actors in each stage and feeds into the M&E process EVALUATION (M&E)

aspects need to be considered in each stage in order to effectively track and assess the





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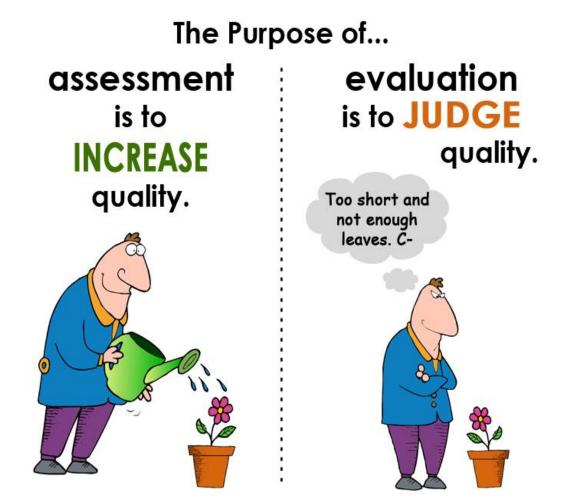
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AIS Assessment Guide: Why and for whom?

Assessment as a necessary precondition to strengthen AIS/EAS

Purpose:

... guide and support actors, national policy and decision makers and other interested stakeholders to develop and implement evidence-based policies, planning and to better target investments towards strengthening AIS/EAS.



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Typology of existing (blue) and potential (red) indicators

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| | | Spec | ific † | | | |
|-----------------------------|---|---|---|--|---|---|
| | researchers education/t Public spending on agricultural research Public spending on extension ent Extension tre service providers | Foreign aid for agriculture Foreign aid for agricultural research Foreign aid | in aor | educati Quality training Transport regulation in agr. Seed regulation | of university ion in agr. of vocational g in agr. Agr. research-ex collaboration Agr. research-po collaboration Adoption of certification Standards in agr. | |
| Primary school enrolment | Health expenditures Foreign aid received Total tax rate | Patent applications Scientific and technical journal articles Domestic credit to private sector Legal rights index | Start-up proceed to register a but Time required start a business Mobile phone subscriptions Gross capital formation | dures e isiness to | Quality of the educational system Ease of accessing loans | University-industry collaboration in R&D Quality of scientific research institutions |

Generic





To date, many assessments would:

- Focus on public sector extension;
- Give limited attention to enabling environment;
- Treat AIS/EAS as a group of individual providers, not as a system with its interactions and governance;
- Neglect a broader AIS context;
- Be upward accountable (towards managers/donors) with limited client participation.

Methodologically, focus mostly on quantifiable data:

- Human resources;
- Number of products and services;
- Number of participants reached;
- Farmer-extension ratio;
- Percentage of public Investments;
- Etc.

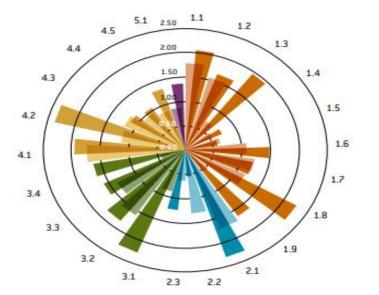


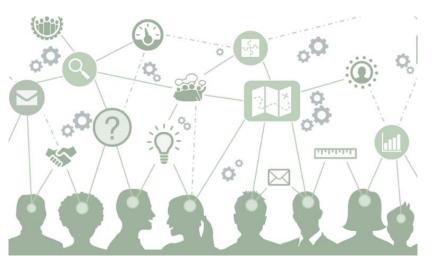
What's unique about FAO Guide?

AIS and EAS is much more complex than what numbers can present.

New FAO Guide:

- Offers a **flexible** methodology with a set of core principles;
- Starting point **new functions** needed v.s. what is available;
- **Optimum ignorance** need to know vs. nice to know;
- **System perspective** looks at AIS/AES as a system with collective capacity, performance, governance with relationships among actors;
- **Relevance** takes into consideration the "clients" perspective;
- Offers a range of practical **qualitative and quantitative** methods to capture the complex reality of the AIS and EAS system;
- Quantifies qualitative information to better inform policy and investment decisions.







AIS and EAS assessment: lessons learnt

- ✓ The assessment is a process and not a data collection exercise;
- ✓ Is participatory, nationally owned and led;
- ✓ Is an endogenous process. Collective energy, motivation and commitment of stakeholders to engage in the assessment are fundamental;
- ✓ Accountability by all key stakeholders engaged in the assessment is critical
- ✓ Sufficient resources (financial, human, equipment, stationery, etc.) are needed to allow a thorough assessment
- ✓ Regular consultation and feedback mechanisms (double learning loop) between assessment team and key stakeholders are fundamental.



Preparation

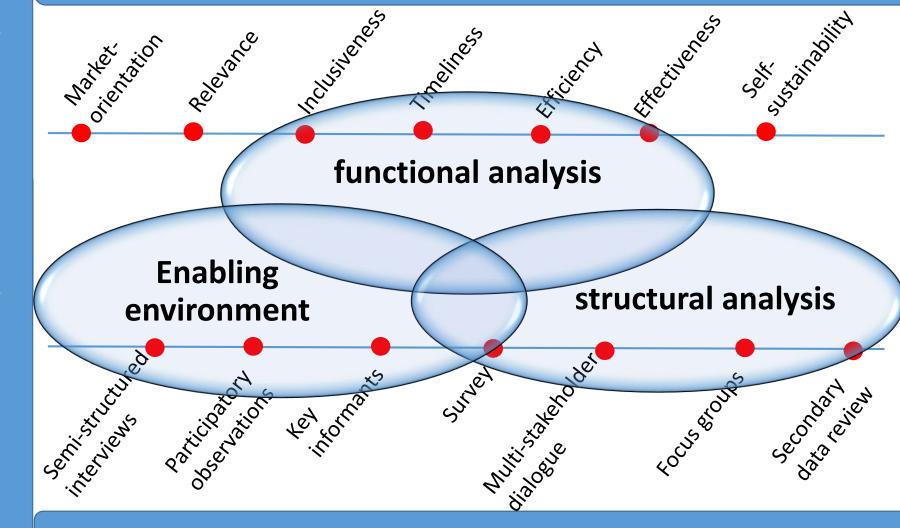
Implementation

Consolidation

Validation through country-level testing: Ecuador, Peru, Liberia, Tunisia, Gambia, Uganda, Madagascar, Swaziland, Ukraine, Azerbaijan, Kyrgyzstan, Tajikistan, Uzbekistan, and India

Assessment Guide: structure and process

Creating country's ownership : Country team, training on the methodology and goal setting



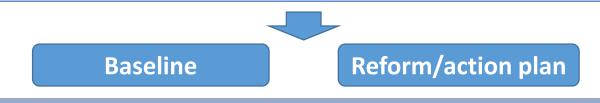
Analysis of the findings, validation and action planning

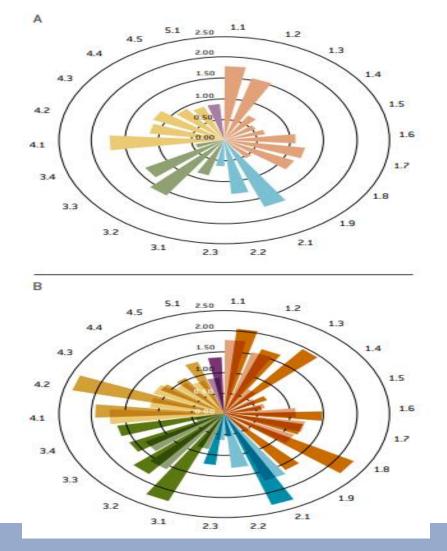


Output: AIS&EAS Profile

A decision-support tool to prioritize smart investments to strengthen agricultural innovation system

- Quick, easy to read and to understand
- Gives an overview of the agricultural innovation system
- Sheds light on key facts, data and information on AIS.
- Help AIS stakeholders, policy and decision makers to better understand the situation of AIS
- Generate generic and specific entry points for responsible investments to strengthen AIS/AES







AGDR work on AEAS - country level

- Builds countries' capacities to assess their national AEAS;
- Provides advice and policy support to **design and implement an effective AEAS reform** towards toward creating pluralistic, demand-driven and market orientated systems.

